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JAN 29 2016

EPIQ BANKRUPTCY SOLUTIONS, LLC

B 210A (Form 210A) (12/09)

# UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Union Bancaire Privée, UBP SA  
Name of Transferee

RBS Coutts Bank AG, Switzerland  
Name of Transferor

Name and Address where notices to transferee should be sent:  
96-98 rue du Rhône, 1211 Geneva  
Switzerland

Court Claim # (if known): 45221  
Amount of Claim: \_\_\_\_\_  
Date Claim Filed: 10/23/2009

Phone: +41 58 819 3533  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Nominal amounts transferred:  
EUR 7'145'000  
USD 4'420'000  
GBP 50'000  
CHF 100'000

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferor's Agent  
**Riad SULTAN**

Date: 27 January 2016

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Jean-Baptiste  
ENATH  
Director



**EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **RBS Coutts Bank AG, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **Union Bancaire Privée, UBP SA, Genève, Switzerland** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 45221**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED on 20 January 2016

RBS Coutts Bank AG

By:   
Name: Erich Vogel  
Title: Vice President

By:   
Name: Hans-Peter Schmid  
Title: Director

## SCHEDULE I

### Lehman Programs Securities related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim filed	Issuer	Number of Units or Currency and Nominal Amount
XS0180154550	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 200'000.00
XS0213629487	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 410'000.00
XS0257807288	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 2'660'000.00
XS0257807874	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 350'000.00
XS0260769434	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 500'000.00
XS0260770010	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 450'000.00
XS0272635185	45221	October 23, 2009	Lehman Brothers Treasury BV	GBP 50'000.00
XS0274890523	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 1'960'000.00
XS0290588572	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 700'000.00
XS0300477709	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 2'525'000.00
XS0302282602	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 100'000.00
XS0302351266	45221	October 23, 2009	Lehman Brothers Treasury BV	CHF 100'000.00

XS0345680655	45221	October 23, 2009	Lehman Brothers Treasury BV	USD 1'510'000.00
XS0349282151	45221	October 23, 2009	Lehman Brothers Treasury BV	EUR 200'000.00



EXPRESS WORLDWIDE DHL EasyShip 5.3.12		DOX	<b>DHL</b>
FROM: UBP 98, RUE DU RHONE  1204 GENEVE SWITZERLAND		Origin: <b>GVA</b>	
TO: EPIQ BANKRUPTCY SOLUTIONS, LLC ATTN: LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING 757 THIRD AVENUE, 3RD FLOOR  10017 NEW YORK, NEW YORK UNITED STATES OF AMERICA			
US-ZYP		FILED / RECEIVED JAN 29 2016 EPIQ SYSTEMS	
		Day Time	

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